

5       **METHOD AND APPARATUS FOR MAINTAINING PACKET**  
**ORDERING WITH ERROR RECOVERY AMONG MULTIPLE**  
**OUTSTANDING PACKETS BETWEEN TWO DEVICES**

Related Applications

10       This nonprovisional patent application claims a right of priority and the  
benefit of Provisional U.S. Patent Application Serial No. 60/175,856 filed January  
13, 2000 entitled "Rio Bus Protocol" by Bryan Marietta pursuant to 35 U.S.C.  
§119(e).

Field of the Invention

15       The present invention relates to communication systems and, more  
particularly, to data transmission techniques among communication devices.

Background of the Invention

Communication systems may be generally characterized as having two or  
more devices which communicate via an interconnect. In all communication  
20       systems, the transmission of data must be in some expected manner or order to  
maintain a meaning between the transmitting and receiving devices. The  
interconnects may be generally classified as being ordered or unordered. An  
unordered interconnect is an interconnect wherein information after being  
transmitted through the interconnect requires reordering at the destination. For

5 example, in systems with unordered interconnects the output data from a transmitting device will not necessarily be received at a receiving device in the same data order that it was sent. For any one of a number of possible reasons, the interconnect may allow subsequently issued data to be passed forward of the earlier sent data. In such systems, a reordering process must be implemented at  
10 the destination of the data transmission. Disadvantages associated with systems having unordered interconnects include the expense associated with required hardware at destination points to perform the reordering function. Another disadvantage with systems having unordered interconnects is that such systems may require end-to-end retries to conform to proper ordering of data. Sometimes  
15 this reordering function may be implemented in software. An endpoint in an unordered system may encounter numerous concurrent requests from different sources. As a result, system performance quickly degrades due to the unpredictability of what order numerous data from potentially differing sources will be provided to a destination by the interconnect. Such systems may also  
20 require resource allocation which involves additional overhead and potential system performance degradation. Examples of types of systems having unordered interconnects include ethernet systems (IEEE 802), SCI systems (Scalable Coherent Interconnect, the IEEE 1596-1994 standard), ATM (Asynchronous Transfer Mode) systems and numerous other protocols commonly implemented for internet  
25 systems.

5 As an alternative to unordered interconnects, systems having ordered interconnects may be used. Examples of this type of system include PCI (Peripheral Component Interconnect) and PCI-X (Peripheral Component Interconnect Extensions). These protocols are very common for desktop computing systems. In these known systems with ordered interconnects, only one  
10 outstanding transaction is permitted to exist in the system between two devices. In other words, an acknowledge signal must be provided by a target device on the bus segment in which the transaction was initiated and received by the transmitting device before the transmitting device can provide a new transaction. That rule ensures certainty at a destination point that a transmitted transaction is  
15 received before another transaction is issued. This rule guarantees simplicity in the system and avoids any need for transaction reordering circuitry and resource allocation. However, because of the ensured certainty obtained by having only one outstanding transaction at any point in time in the interconnect, the system performance is reduced because of a lower amount of data being transmitted at the  
20 same time, especially for end-to-end transactions where the end devices are physically separated by a significant distance. Additionally, PCI and PCI-X require a synchronous system in which a transmitting endpoint device is clocked synchronously with a receiving endpoint device. The lower performance can be compensated for by using a higher clock frequency to clock the data, but clock  
25 rates are limited in speed due to the synchronous nature of the system. Even at

5 higher clock speeds system performance is restrained by the single transaction nature of the interconnect. Synchronously clocked systems also require overhead to implement and maintain synchronous clocks at all endpoints of the system. Further limiting the performance of PCI and similar protocols is the fact that a transaction type is associated with each piece of data sent. In addition to creating  
10 such transaction types, both the interconnect and a receiving endpoint must then evaluate the type of data transaction and group similar type transactions together. All of these functions at numerous points in a system operate to add significant overhead to the system.

15 Data recovery to identify and correct data transmission errors is also a task which is not efficiently performed in many existing communication systems. For example, in ethernet-based systems, when more data packets exist in the interconnect than can be handled, the system is designed to drop or discard the data packets. The destination unit must then be configured to recognize that some data was not properly received and enter into an error recovery mode. Various  
20 known error recognition and error correction techniques exist. In most systems, error recovery is performed strictly in software. However, interrupting a device in the system to perform error recovery in software is slow. Additionally, many error recognition and recovery mechanisms will require additional end-to-end transfers within the system which is directly time dependent on the amount of distance  
25 separating the two endpoints. In some applications, the performance impact when

5 requiring a software layer in the system for error recovery is unacceptable.

Therefore, a need exists in known communication systems for improved efficiencies in the amount of overhead required to implement data transmissions and provide data error recovery.

### Brief Description of the Drawings

10 FIG. 1 illustrates in block diagram form a communication system architecture having a need for the present invention.

FIG. 2 illustrates in block diagram form an output section of a data communication device in accordance with the present invention;

FIG. 3 illustrates in organizational layout form the Acknowledge  
15 Identification Scoreboard of FIG. 2;

FIG. 4 illustrates in organizational layout form the format of a packet of information transmitted in accordance with the present invention; and

FIG. 5 illustrates in block diagram form an input section of a data communication device in accordance with the present invention.

### Detailed Description of the Drawings

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FIG. 1 illustrates a data processing system 10 which is a communication architecture in which the present invention may be implemented. Data processing

5 system 10 is by way of example only and it should be well understood that the  
method taught in the present invention may be utilized in any communication  
system architecture in which an interconnect fabric couples two or more endpoint  
devices for the communication of information. It should also be well understood  
that unless specifically limited, the term 'data' is herein intended to include  
10 information which may function as either addressing information, control  
information or actual data. System 10 has three illustrated endpoint devices in the  
form of endpoint device 12, endpoint device 14 and endpoint device 16. System 10  
also has two interconnect devices in the form of interconnect device 17 and  
interconnect device 20. Interconnect device 17 is connected to endpoint device 12  
15 via a bi-directional communication bus 18 and is coupled to endpoint device 14 via  
a bi-directional communication bus 19. Interconnect device 20 is connected to  
interconnect device 17 via a bi-directional bus 21. Interconnect device 20 is  
connected to endpoint device 16 via a bi-directional bus 22.

In general, any of endpoint devices 12, 14 and 16 may communicate data in  
20 the form of packet transmissions to any of the other endpoint devices. Because of  
the bidirectional nature of the system, it is very likely that the interconnect fabric  
illustrated in the form of interconnect devices 17 and 20 will be concurrently  
receiving and transmitting packets associated with the same device. The distance  
between any two devices may be very small as the system 10 may be implemented

5 on a single integrated circuit, or the distance between any two devices may be  
hundreds of miles. In either event, noise sources may create bit reversals and other  
transmission interrupts between two devices which results in erroneous data or no  
data being received at the receiving device. The present invention as discussed  
below provides a simple, fast mechanism for the transfer of information in packets  
10 between devices and the mechanism implements an efficient and fast method to  
both maintain packet ordering and implement error recovery.

In using the present invention in system 10, system 10 is not required to be a  
synchronous system. In a preferred form, system 10 is a source synchronous  
system. A source synchronous system is a system in which a clock is transmitted  
15 with a packet. The present invention may also be implemented in system 10  
wherein each of the endpoint devices uses a clock having differing frequency. A  
source synchronous implementation simplifies the system design constraints  
allowing higher frequencies of operation and permitting devices to be separated by  
larger distances. In using the present invention with system 10, each of the  
20 endpoint devices 12, 14 and 16 and each of the interconnect devices 17 and 20 will  
have an output unit as illustrated in FIG. 2 and an input unit as illustrated in FIG.  
5. It should be recognized that the present invention may be adapted for a  
number of communication system architectures and that use of the present  
invention in the architecture illustrated in FIG. 1 is exemplary only.

5 Illustrated in FIG. 2 is an output unit 30 having a plurality of input  
terminals for receiving input data from internal circuitry (not shown) of the device  
in which output unit 30 is implemented. Each input of the plurality of input  
terminals is respectively connected to a predetermined one of a plurality of entries  
1 through N, where N is an integer, of an Outbound Packet Queue 34. Select Logic  
10 36 has an input/output terminal connected to an input/output control terminal of  
Outbound Packet Queue 34. Outbound Packet Queue 34 has N output terminals,  
each of which is respectively connected to a predetermined one of the N entries in  
the Outbound Packet Queue 34. Each of the N output terminals is connected to an  
input of a Multiplexer 38. An output of Select Logic circuit 36 is connected to a  
15 control input of Multiplexer 38. An output of Multiplexer 38 is connected to an  
input of an Assembly Logic circuit 40. An output of Assembly Logic circuit 40  
provides an Output for transmitting packet data as an output from one of the  
devices 12, 14, 16, 17 or 20. An Acknowledge (Ack) Response Input signal is  
received at an input of an Acknowledge Information circuit 42. Acknowledge  
20 Information circuit 42 has an input/output terminal connected to an input/output  
terminal of an Acknowledge Identification (ID) Scoreboard circuit 44 via a  
bidirectional bus. Acknowledge Identification (ID) Scoreboard circuit 44 has an  
output connected to a control input of Assembly Logic circuit 40.



5 In operation, partial packets are provided from circuitry (not shown) which is in the endpoint device in which output unit 30 is implemented. The partial packets are connected to the input of Outbound Packet Queue 34. These partial packets are used to form a complete packet having a format to be described more fully in connection with FIG 4. The partial packets contain at least the data field and attributes of the complete packet. The partial packets are stored in the Outbound Packet Queue 34 in a successive order in which they are received. Select Logic circuit 36 functions to signal Outbound Packet Queue 34 both when and which entry within Outbound Packet Queue 34 may be released and also written over with a new partial packet. Select Logic circuit 36 also functions to control Multiplexer 38 to select the appropriate partial packet from the Outbound Packet Queue 34. Assembly Logic circuit 40 functions to receive a partial packet from Multiplexer 38 and assembles the complete packet by combining an ordering tag number value with the partial packet. Therefore, what is transmitted by Assembly Logic circuit 40 is a series of successively ordered packets. After Assembly Logic circuit 40 has provided a packet at the output, the next device which is coupled in the system 10 must receive the packet and confirm with an affirmative Acknowledge Response at the input of Acknowledge Information circuit 42 that the receiving device actually received the packet, that the packet which was received had the correct next expected ordering tag number value, and what the actual ordering tag number value was. Upon receipt of the Acknowledge

5 Response, if the response is that the packet was accepted, the Acknowledge  
Information circuit 42 compares the returned ordering tag number value with the  
next expected ordering tag number value. If those values do not match, an error  
has occurred. If the received Acknowledge Response indicates that the packet was  
rejected by the destination device, the transmitting device also treats this condition  
10 as an error. It is also possible that the receiving device accepted the packet, but  
that a transmission error corrupted the affirmative Acknowledge Response.  
Therefore, Acknowledge Information circuit 42 also contains an error detection  
portion which can determine, using conventional error detection techniques,  
whether an error occurred in the transmission from the receiving device back to  
15 Acknowledge Information circuit 42. A discussion regarding the transmitting  
device when errors are detected is provided below. Upon an affirmative  
Acknowledge Response, if the returned ordering tag number value and the next  
expected ordering tag number value match, and there are no transmission errors,  
an affirmative signal is provided to the Acknowledge Identification Scoreboard 44  
20 to advance the next expected ordering tag number value. The Acknowledge  
Identification Scoreboard 44 also signals Select Logic 36 that the packet was  
successfully transmitted and received and can therefore be removed from  
Outbound Packet Queue 34. Select Logic circuit 36 then deletes the partial packet  
from the Outbound Packet Queue which was used to form the transmitted and  
25 received packet and indicates that the entry is available for use.

5 In response to a rejected packet response from the receiving device or upon  
detection of an error, Acknowledge Information circuit 42 provides a signal to  
Acknowledge Identification Scoreboard 44 which relays to Select Logic 36 and  
Assembly Logic 40 to stop the transmission of packets. Assembly Logic 40  
transmits a request to the receiving device to provide its current next expected  
10 ordering tag number value. When Acknowledge Information circuit 42 receives  
the next expected ordering tag number value from the receiving device the  
returned value is compared to both the next expected ordering tag number value  
and the outstanding ordering tag number value or values. If the returned value  
matches one of the next expected or outstanding values, the Acknowledge  
15 Identification Scoreboard 44 backs up to the returned value and begins issuing  
ordering tag number values starting with that returned value and indicates to the  
Select Logic circuit 36 which packets need to be re-transmitted, if any. If the  
returned value does not match one of the outstanding values, a fatal error has  
occurred and transmission cannot be restarted without software layer assistance.  
20 The rejected packet response can be optimized in the situation where there was no  
transmission error on the response. In that case, the returned ordering tag number  
value is used as the point to back up to in the Acknowledge Information  
Scoreboard 44 and the transmitting device does not have to query the receiving  
device.

5 Illustrated in FIG. 3 is a detail of one implementation of Acknowledge  
Identification Scoreboard 44. Acknowledge Identification Scoreboard 44 is  
illustrated having N distinct ordering tag number values for maintaining packet  
ordering. It should be well understood that the tag number ordering may be  
modified and the illustrated order is exemplary only. For example, the ordering  
10 tag number values do not have to be assigned in succession as long as an order is  
defined and followed. Acknowledge Identification Scoreboard 44 functions to  
keep track within output unit 30 of the next expected ordering tag value for which  
a return Acknowledge Response is expected to be received. Additionally,  
Acknowledge Identification Scoreboard 44 functions to identify the next assigned  
15 ordering tag number value that is to be used to transmit a packet. As illustrated in  
FIG. 3, Acknowledge Identification Scoreboard 44 may be implemented as a  
register device having two distinct pointer values which track these two ordering  
tag number values. It should be understood that the Acknowledge Identification  
Scoreboard 44 allows up to N packets to be successively transmitted before  
20 receiving the Acknowledge Response associated with the first packet that is  
transmitted. In this manner, the present invention permits an efficient transmission  
of information that is not limited to a single outstanding transaction.

Illustrated in FIG. 4 is an organizational layout of the complete packet of  
information. In a preferred form, each packet has three general components. An

5 ordering tag number of predetermined number of bits is used to identify the order  
of each packet. The value for the ordering tag number is provided to Assembly  
Logic 40 by the Acknowledge Identification Scoreboard 44. In a preferred form,  
the ordering tag number has three bits and therefore provides a total of eight  
possible values which the packets may be assigned. Additionally, each packet has  
10 a predetermined number of bits used in an attributes field. The remainder of bits  
in the packet contain data.

In the illustrated example of output unit 30, the destination device which  
receives the output of Assembly Logic 40 must also be keeping track of what the  
ordering tag number value should be for the next packet. If the ordering tag  
15 number value of the received packet does not match that of the next expected  
ordering tag number value, then the destination device considers an error to have  
occurred. In response, the Acknowledge Response which is returned as an input  
to the originating output unit is returned indicating that the packet was rejected as  
previously described.

20 Output unit 30 in this manner functions to guarantee properly ordered  
packet delivery from device to device and therefore end-to-end in a system such as  
system 30, as the immediately next coupled device will have an input unit which  
functions to implement the functionality associated with the Acknowledge  
Response signal discussed above. Illustrated in FIG. 5 is an input unit 60 which

5 illustrates one of numerous implementations of the desired functionality. Input unit 60 receives an input packet from an originating device at an input terminal labeled "Input". The input terminal is connected to an input of a Parsing Logic circuit 62. A first output of Parsing Logic circuit 62 is connected to an input of an Expected Value Comparator 64. A second output of Parsing Logic 62 is connected  
10 to an input of an Error Detection Logic circuit 66. A first output of Error Detection Logic circuit 66 is connected to a first input of a Multiplexer 68. An output of Multiplexer 68 is connected to each of a plurality of entry inputs of an Inbound Packet Queue 70. Packet queue 70 has a plurality (1 through N, where N is an integer) of storage entries. Each of the storage entries has an output which is  
15 coupled to circuitry (not shown) internal to the device containing input unit 60. A Select Logic circuit 74 has a first input/output terminal output connected to an input/output terminal of the Inbound Packet Queue 70 via a bidirectional bus, an output connected to a control input of Multiplexer 68 and a second input/output terminal connected to a first input/output terminal of an Acknowledge  
20 Information circuit 76 via a bidirectional bus. Select Logic circuit 74 has inputs (not shown) which permit Select Logic circuit 74 to know if an error was detected and therefore whether to pass packets into and out of Inbound Packet Queue 70. An output of Error Detection Logic circuit 66 is connected to an input of the Acknowledge Information circuit 76. An input/output terminal of Expected Value  
25 Comparator 64 is connected to a second input/output terminal of the

- 5 Acknowledge Information circuit 76 via a bidirectional bus. An output of the Acknowledge Information circuit 76 provides the Acknowledge (Ack) Response as an output.

In operation, it is required that an associated output and input unit initialize their next expected ordering tag number values to the same value and advance in  
10 the same order. That means that the Next Expected Ordering Tag Number Value and the Next Assigned Ordering Tag Number value must be reset to the same value in the output unit and those two values must advance in the same order. When an input packet is received, Parsing Logic 62 functions to remove the ordering tag number value and couple the ordering tag number value to Expected  
15 Value Comparator 64 for comparison with the next expected ordering tag number value. If the expected and received values match, the comparator 64 advances the Next Expected Ordering Tag value in the same manner an output unit will advance ordering tag number values as packets are output and acknowledged. If the received ordering tag number value is the same as the next expected ordering  
20 tag number value, then comparator 64 provides a signal to Acknowledge Information circuit 76 of that occurrence. However, before Acknowledge Information circuit 76 will provide an Acknowledge Response back to the device which just sent the input packet, two additional approvals have to be obtained. Parsing Logic circuit 62 provides the data portion of the packet to the Error

5 Detection Logic 66. Error Detection Logic 66 may be implemented in accordance with a number of known error detection techniques. For example, Error Detection Logic 66 may perform a parity bit check or cyclic redundancy check. In response to the error detection function, Error Detection Logic circuit 66 provides a signal to the Acknowledge Information circuit 76 which indicates whether there were any

10 errors in the received data or not. Additionally, Select Logic circuit 74 functions to determine whether or not there is an available storage entry within the Inbound Packet Queue 70 so that the packet, assuming the packet had the expected tag ordering number value and that the data contained no transmission errors, may actually be stored. Select Logic circuit 74 provides the Acknowledge Information

15 circuit 76 with that information. If there is available storage in Inbound Packet Queue 70, and if there were no detected packet errors, and if the correct ordering tag number value for the received packet was confirmed, the packet is stored in Inbound Packet Queue 70. At that point, Acknowledge Information circuit 76 provides the Acknowledge Response to the output unit which provided the input

20 packet indicating that the packet has been accepted. However, if any one of those three conditions do not exist, then an Acknowledge Response is provided to the output unit indicating that the packet has been rejected.

It should be noted that if an error is detected by Error Detection Logic circuit 66, the error correction mechanism is totally resident in hardware. No



5 software handling routine is necessary. The hardware handling of the error by  
resending the packet is faster than trying to address the error correction using  
software. A communication system which utilizes the present invention is able to  
tolerate transient errors between devices and quickly retry the transmission to  
correct such transient errors. Also, since an output unit such as unit 30 is  
10 implemented in each of the devices of FIG. 1 and since an input unit such as unit 60  
is implemented in each of the devices of FIG. 1, there are no end-to-end packet  
delivery responses in the system, such as between endpoint device 12 and  
endpoint device 16. Such end-to-end delivery response schemes tend to be more  
prone to delay and data corruption. The packet delivery is guaranteed for each  
15 device-to-device 'hop' through the system. Therefore, the ordering tag number  
value for each packet is reassigned for each hop thereby avoiding system end-to-  
end ordering tags. Using the method taught herein, the system is guaranteed to  
transmit properly ordered packets between endpoint devices. The present  
invention permits a simplified interconnect design. Because the ordering tag  
20 number value of a transmitting device and the ordering tag number value of a  
receiving device are initialized and advanced in the same fashion, devices remain  
packet order synchronized whether or not they are clock synchronized. The device  
synchronization which results from the strict ordering is why the entire system  
does not have to be clock synchronized at the same frequency. Also, should a  
25 same frequency clock be used for two or more of the system devices, those devices

5 do not have to be phase synchronized. If the present invention is implemented in a source synchronous system, the clock which is transmitted in each packet may be encoded in the data, such as the conventional 8B10B encoding. A system which uses the present invention is simplified and yet guaranteed to maintain proper ordering of packets which are being communicated between devices.

10 By now it should be apparent that there has been provided a method and apparatus for maintaining packet ordering in a communication system which implements error recovery and which has multiple outstanding packets required to be transferred between devices in the system. Various physical implementations of the present invention may be readily utilized. For example, any type of endpoint device, such as a processor, a memory controller, or a  
15 peripheral controller, may be used. Numerous physical implementations may be created to implement the packet ordering and the various logic circuits. For example, instead of using a second pointer within the Acknowledge Identification Scoreboard 44 to indicate a next assigned ordering tag number value, the second  
20 pointer could instead indicate the most recently sent ordering tag number value and the system configured accordingly. Although multiple conductor buses are preferred, single conductors may be used for all the unnumbered internal buses. Therefore, it is intended that this invention encompass all such variations and modifications as fall within the scope of the appended claims.